(19) Europäisches Patentamt
European Patent Office
Office européen des brevets

(11) EP 1 324 558 A1

(12) EUROPEAN PATENT APPLICATION

(43) Date of publication:
02.07.2003 Bulletin 2003/27

(51) Int Cl.[7]: **H04L 27/34**, H04L 27/04

(21) Application number: **01130988.7**

(22) Date of filing: **28.12.2001**

(84) Designated Contracting States:
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE TR
Designated Extension States:
AL LT LV MK RO SI

(71) Applicant: **Sony International (Europe) GmbH**
10785 Berlin (DE)

(72) Inventor: **Schill, Dietmar,**
c/o Adv. Techn. Center Stuttgart
70327 Stuttgart (DE)

(74) Representative: **Müller - Hoffmann & Partner Patentanwälte,**
Innere Wiener Strasse 17
81667 München (DE)

(54) Multiresolution broadcast transmitter and method using Gaussian trellis shaping to reduce average signal power and corresponding multi-stage decoder

(57) A transmitter, a broadcast signal, a multi-stage decoder and a method for generating said broadcast signal are described, whereby a multiresolution hierarchical modulation scheme with unequal error protection is used. Within each quality level (qi) of the hierarchical modulation scheme, the signal probability distribution is shaped individually using trellis shaping (22). Unequally distributed probabilities are assigned to the hyper constellation points $u_{1,k}$ within a quality level i, and thus the average transmit power is reduced. Preferably, as a probability distribution, a discrete Gaussian distribution is used.

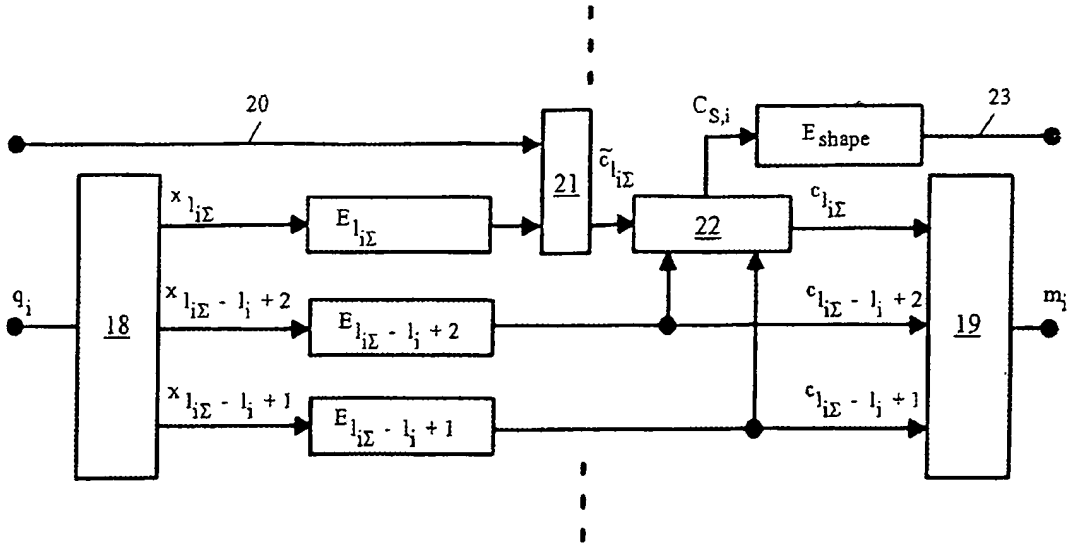

Fig. 5

EP 1 324 558 A1

Printed by Jouve, 75001 PARIS (FR)

Description

[0001] The invention is related to a hierarchical modulation scheme, and particularly to a transmitter for signals modulated according to said hierarchical modulation scheme, to a multi-stage decoder, to a broadcast signal modulated according to said hierarchical modulation scheme, and to a method for generating said broadcast signal.

[0002] Whenever a single transmitter broadcasts digital data to several receivers located at different distances from the transmitter, the various receivers receive a broadcast signal of varying signal to noise ratio. The signal to noise ratio of the received signal becomes smaller and smaller with increasing distance between signal source and receiver. In practice such a scenario arises for the connection between the base station and the mobile phones of a wireless telephone system, for the transmission from a radio or TV station to the corresponding receivers, etc.

[0003] A receiver that is located nearby the signal source receives a signal having a large signal to noise ratio. In this case, the probability of channel impairments and bit errors is rather small. Therefore, only a small amount of coding redundancy has to be added to the data in order to protect the transmitted data.

[0004] Receivers that are located far away from the signal source receive a weak and distorted signal having a rather small signal to noise ratio. In this situation, a large amount of coding redundancy has to be added to the data, in order to be able to correct any bit errors caused by channel impairments. Here, a robust level signal having a small data rate and a lot of redundancy is needed.

[0005] Hence, for a receiver located in the proximity of the signal source, an enhancement level signal with a large transmission rate and a small amount of coding redundancy is required, while for receivers which receive a weak signal, a robust level signal is needed. The question is how these contradictory requirements can be fulfilled with a single digital transmission signal.

[0006] One solution is to alternatingly transmit the robust level signal and the enhancement level signal in a time sharing mode. For this purpose, the transmission signal comprises a first type of time slots for transmitting the robust level signal, and a second type of time slots for transmitting the enhancement level signal. Receivers which receive a weak signal with small signal to noise ratio decode the robust level signal transmitted within the first type of time slots, while receivers which receive a strong signal decode the enhancement level signal transmitted within the second type of time slots, which provides a much better signal quality than the robust level signal.

[0007] In the article "Broadcast channels" by T. Cover, IEEE Trans. Inf. Theory, IT-18, 2-14, 1972 the information theory of the broadcast channel is explored, and it is shown that the time-sharing approach is suboptimal. Nevertheless, the orthogonal split of the transmission ressources (time or frequency e.g.) is easy to design for and it is still predominant in today's systems in the field.

[0008] H. Imai and S. Hirakawa introduced the concept of multilevel codes which are utilized for hierarchical modulation and demodulation schemes. A receiver which receives such a hierarchically encoded signal may decode differing parts of the transmitted sequence at different received signal to noise ratios. In the article "A New Multilevel Coding Method Using Error Correcting Codes" by H. Imai and S. Hirakawa, IEEE Trans. Inf. Theory, IT-23, 371-377, 1977, more details of said multi-level coded modulation scheme can be found.

[0009] One of most powerful applicable hierarchical modulation scheme was presented in 1976/1977 by G. Ungerboeck. A description of Ungerboeck's approach can be found in the articles "On improving data-link performance by increasing channel alphabet and introducing sequence coding", G. Ungerboeck and I. Csajka, Proc. IEEE Int. Symp. Information Theory (ISIT), Ronneby, Sweden, June 1976, and in "Channel coding with multilevel/phase signals", IEEE Trans. Inform. Theory, vol. IT-28, pp. 55-67, January 1982. Ungerboeck's approach to coded modulation is based on mapping by set partitioning. Thereby, the set of signal points $A = \{a_0, a_1, ..., a_{M-1}\}$ of an $M=2^l$-ary modulation scheme is successively binary partitioned in l steps defining a mapping of binary addresses $x = (x^0, x^1, ..., x^{l-1})$ to signal points a_m, in a way that the Euclidian distance between the remaining signal points of a signal set is maximised at each partitioning step. In most work dealing with coded modulation, the set partitioning strategy introduced by Ungerboeck is chosen.

[0010] The maximum possible transmission capacity C in a point to point transmission has been calculated by Claude Shannon and is equal to

$$C = B \cdot \log_2\left(1 + \frac{P}{N}\right) \qquad [\text{bit / s}],$$

whereby P is the power of the transmitted signal and N is the noise power within the available frequency bandwidth B. More details can be found in two articles by C. E. Shannon, "A mathematical theory of communication", The Bell System Technical Journal, 27: 379-423, July 1948, and "A mathematical theory of communications", The Bell System Technical Journal, 27: 623-656, October 1948.

6/12/06, EAST Version: 2.0.3.0

[0011] In his article "Broadcast channels", IEEE Transactions on Information Theory, IT-18(1): 2-14, January 1972, T. M. Cover has generalized the capacity C to the capacity region of the degraded broadcast channel. The following equation gives the maximum achievable transmission capacity C_i on quality level i of a hierarchical transmission scheme:

$$C_i = B \cdot \log_2\left(1 + \frac{\mu_i \cdot P}{N_i + P \cdot \sum_{j=i+1}^{L-1} \mu_j}\right)[bit/s]$$

with N_i being the noise level on quality level i, and whereby the relation $N_0 > N_1 > \ldots > N_{L-1}$ holds for the L quality levels of the transmission system. Further μ_i is the fraction of the total transmit power P spent on quality level i, and therefore

$$\sum_{j=0}^{L-1} \mu_j = 1 .$$

[0012] So far, modulation schemes have been discussed whereby the signal points have equally distributed probabilities. Besides that, for standard non-hierarchical modulation schemes, it is well known to shape the probabilities of the signal points, in order to increase the performance of the transmission system. Shaping adds another degree of freedom to the system design by allowing the signal points to be of differing probabilities. Examples of transmission systems which utilize shaping are described in "Trellis shaping", G. D. Forney Jr., IEEE Transactions on Information Theory, 38: 281-300, March 1992, and in "Multilevel codes: Theoretical concepts and practical design rules", Udo Wachsmann, Robert F. H. Fischer, and Johannes B. Huber, IEEE Transactions on Information Theory, 45(5): 1361-1391, July 1999.

[0013] It is an object of the invention to provide a transmission system in which the coding efficiency of a hierarchical modulation scheme is further improved, and which allows to approach the theoretical performance limits of the degraded broadcast channel more closely.

[0014] The object of the invention is solved by a transmitter for signals modulated according to a hierarchical modulation scheme according to claim 1, by a multi-stage decoder according to claim 14, by a broadcast signal according to claim 25, and by a method for generating a broadcast signal according to claim 33.

[0015] According to the invention, the transmitter is a transmitter for signals modulated according to a hierarchical modulation scheme, whereby source signal bitstreams of L different quality levels are partitioned into bitstreams for I mapping levels. Each quality level i is represented by l_i corresponding mapping labels, whereby by setting the l_i mapping labels corresponding to said quality level i, one of 2^{l_i} hyper constellation points of said quality level i is selected. Thereby each hyper constellation point represents a subcluster of the set of signal points. The transmitter comprises means for shaping, individually for each quality level i, the probability distribution within at least one of the quality levels. Said means for shaping assign unequally distributed probabilities to the 2^{l_i} hyper constellation points of a quality level i.

[0016] In a transmitter according to the invention, the concept of shaping has been applied for the first time to a hierarchical modulation scheme. The main idea of the invention is to apply shaping individually and separately for each quality level i of the hierarchical transmission scheme, whereby each quality level comprises l_i corresponding mapping levels. A set of hyper constellation points $u_{i,k}$, with k=0, 1, ..., 2^{l_i}-1, belongs to each quality level i of the hierarchical transmission scheme. For each quality level i, an individual probability distribution $p_{U_i}(u_{i,k})$, with k=0, 1, ..., 2^{l_i}-1, is chosen. Shaping of the total set of signal points for the hierarchical modulation scheme is performed by separately shaping the probability distributions within each of the L quality levels.

[0017] For this reason, the transmitter does not comprise one big shaping unit for shaping the signal points' total probability distribution. Instead, the transmitter comprises a multitude of small shaping units for shaping the probability distributions within certain quality levels. Usually, trellis shapers are used as shaping units, and said trellis shapers utilize a trellis algorithm for adjusting the probability distribution in a way that an energetically optimized sequence of hyper constellation points is found. The complexity of the path optimization problem the trellis shaper has to solve depends strongly on the size of the set of signal points or hyper constellation points for which the path optimization problem is solved. The complexity of shaping a set of 2^{l_i} hyper constellation points of quality level i is much lower than the complexity of shaping the probability distribution for the whole set of signal points. Therefore, by shaping the probability distributions separately within each quality level i, it is possible to break down a complex problem into a set of small, solvable tasks.

[0018] With a transmitter according to the invention, it is possible to apply the concept of shaping to a hierarchical

6/12/06, EAST Version: 2.0.3.0

modulation scheme. By doing this, the average transmit power is reduced, and the performance of the hierarchical modulation scheme is improved. In fact, the invention allows to reach the theoretical Shannon-Cover bound more closely than it has been possible before.

[0019] According to a preferred embodiment of the invention, each hyper constellation point corresponds either to the coordinate of the center of the subcluster it represents, or to a signal point. On the highest quality level, each hyper constellation point of said quality level is identical to a signal point. For all the lower quality levels, each of the hyper constellation points of said quality levels is equal to the center coordinate of the subcluster it represents.

[0020] Preferably, the transmitter comprises means for multilevel coding, which comprise a set of encoders for separately encoding the bitstreams of said l mapping levels. Thus, the amount of redundancy added to each of said mapping level bitstreams can be individually chosen according to the quality level said mapping level corresponds to. For low-quality bitstreams, more coding redundancy has to be added than for high-quality bitstreams.

[0021] Preferably, for at least one quality level, the bitstream of the highest mapping level corresponding to said quality level is not encoded at all. If the hyper constellation points corresponding to the two possible values said highest mapping level bit can take are separated far enough, said highest mapping label can be decoded without any ambiguities. In this case, it is not necessary to add redundancy to said bitstream of the highest mapping level, and therefore, said bitstream doesn't have to be encoded. The number of encoders within the transmitter is reduced, and the transmitter becomes simpler and cheaper.

[0022] Preferably, said means for shaping comprise at least one shaping unit per quality level, which enforce, according to shaping information that is utilized by said shaping units during the shaping operation, an unequally distributed probability distribution of the 2^{l_i} hyper constellation points of said quality level. By enforcing an unequally distributed probability distribution within a certain quality level, it is possible to increase the number of low-amplitude signal points, and to decrease the number of high-amplitude signal points within the broadcast signal. The average signal power needed for transmitting a sequence of data bits is reduced, and the transmitter's performance is increased.

[0023] According to a preferred embodiment of the invention, said shaping units are realized as Trellis shaping units. Shaping can be realized by means of a Trellis algorithm. Within a Trellis shaping window, the bitstreams that are to be shaped are used, together with additional shaping information, as an input for a path optimization problem. As a result of the shaping operation, the path with the lowest transmit energy is found.

[0024] Preferably, the transmitter comprises shaping information encoding means for at least one of said quality levels for generating shaping information coding redundancy, which is transmitted together with said shaping information, in order to protect said shaping information. When said shaping information is transmitted to a receiver, transmission errors due to channel impairments might occur. In case faulty shaping information is utilized for generating the re-encoded data stream for higher decoding stages, the data decoded by said higher decoding stages will be faulty as well. In order to protect said shaping information, shaping information coding redundancy is transmitted together with said shaping information. The additional coding redundancy allows to correct any bit errors of the shaping information that occur during the transmission. By using the error-corrected shaping information when generating the re-encoded data streams, it is possible to avoid decoding errors on part of the higher decoding stages.

[0025] According to a preferred embodiment of the invention, the extent of shaping information coding redundancy for quality level i is chosen according to the signal to noise ratio of quality level i+1. On part of a receiver, the shaping information for quality level i does not have to be considered when the data stream for quality level i is decoded. For decoding the data streams of higher quality levels, such as the quality level i+1, the shaping information of quality level i has to be known, though. Only in case the receiver attempts to decode the data streams for quality level i+1, which implies that the channel's signal to noise ratio is good enough for decoding the data streams for quality level i+1, the shaping information for quality level i is used. In order to protect the data integrity of the shaping information of quality level i, it is therefore sufficient to choose the shaping information coding redundancy according to the signal to noise ration for quality level i+1.

[0026] Preferably, said shaping information coding redundancy is transmitted with a time delay relative to said shaping information, whereby said time delay is larger than the length of the shaping window used by the shaping units for enforcing the desired probability distribution. The shaping information coding redundancy cannot be generated before the path optimization process within the shaping window of the shaping unit has been finished. Therefore, there will always exist a time delay between the availability of the shaping information itself and the availability of the shaping information coding redundancy.

[0027] According to a preferred embodiment of the invention, said probability distribution within at least one quality level i is a discrete Gaussian distribution. A discrete Gaussian distribution, which can be described by the term

$$e^{-\lambda_i \cdot |u_{i,k}|^2},$$

6/12/06, EAST Version: 2.0.3.0

with $\lambda_i > 0$, is an efficient way for implementing a probability distribution which smoothly declines with increasing $|u_{i,k}|$. The decline is defined by only one parameter, λ_i. For each quality level i, λ_i can be chosen individually.

[0028] Preferably, said set of signal points is a non-uniformly spaced set of signal points. By adjusting the spacing between the signal points of the signal alphabet, an additional degree of freedom for the design of the hierarchical coding scheme is provided. This allows to optimize said hierarchical coding scheme.

[0029] Further preferably, said modulation scheme is an Amplitude Shift Keying (ASK) or a Quadrature Amplitude Modulation (QAM) scheme, and particularly a 8-ASK, 16-ASK, 64-QAM or 256-QAM scheme. Within an Amplitude Shift Keying modulation scheme, different amplitudes have been assigned to the signal points of the signal alphabet. The one-dimensional ASK constellations can be directly generalized to the two-dimensional QAM constellations.

[0030] The invention also relates to a multi-stage decoder for decoding a signal modulated according to a hierarchical modulation scheme, whereby said signal is composed of source signal bitstreams of L different quality levels, which have been partitioned into bitstreams for I mapping levels. Each quality level i is represented by l_i corresponding mapping levels. According to the invention, the multi-stage decoder comprises decoding means, for each quality level i, which decode the l_i corresponding mapping level bitstreams, and which provide the decoding means of higher quality levels with reliability information on the decoded mapping level bitstreams. Furthermore, the multi-stage decoder comprises means for separating the shaping information for at least one quality level i from the received mapping level bitstreams for quality level i, and reliability information generation means, which produce reliability information on the shaping information for quality level i.

[0031] When the concept of shaping is applied within a non-hierarchical modulation scheme, the shaping information can be simply ignored on part of the receiver. As soon as shaping is applied within a hierarchical modulation scheme, a decoding stage of a higher quality level has to be provided with reliability information (soft information) on both the shaping information and the data, because for decoding the higher quality levels, the hyper constellation points of the lower quality levels have to be known.

[0032] In the multi-stage decoder as proposed by this invention, a decoder of a higher quality level is informed about the reliability of the decoded mapping level bitstreams, and about the reliability of the shaping information for the lower quality level. The reliability information on the decoded mapping level bitstreams is generated by the decoders of said mapping level bitstreams when said bitstreams are decoded. In order to generate the reliability information on the shaping information for quality level i, the multi-stage decoder comprises reliability information generation means.

[0033] By means of said reliability information, it is possible to forward signal constellation information for a lower quality level to higher quality levels. Thus, the concept of shaping can be applied to a hierarchical modulation scheme.

[0034] Preferably, said reliability information on the shaping information for quality level i is hard-quantised reliability information, which is preferably obtained by re-encoding said error-corrected shaping information for quality level i.

[0035] Further preferably, said reliability information on said mapping level bitstreams is hard-quantised reliability information, which is preferably obtained by re-encoding said decoded mapping level bitstreams.

[0036] According to a preferred embodiment of the invention, the multi-stage decoder comprises means for storing a block of received data, which store a block of received data until the shaping information coding redundancy for said block of received data, which is transmitted with a delay relative to said shaping information itself, has been received. By storing the received shaping information, decoding of said shaping information can be postponed until said shaping information coding redundancy is available.

[0037] Preferably, the multi-stage decoder comprises shifting means, which shift, each time a mapping label has been decoded, the hyper constellation points of a corresponding respective subcluster by an offset value, such that the hyper constellation points of said subcluster are centred at zero. Especially if the set of hyper constellation points contained within a subcluster is identical for all the subclusters of a certain quality level, no matter which subcluster of said quality level is selected, one will always obtain the same set of hyper constellation points, provided that said set is shifted in a way that it is centred at zero. Thus, the L quality levels of the modulation scheme become independent of each other.

[0038] Further features and advantages of a preferred embodiment according to the present invention will be explained below in conjunction with the accompanying drawings, in which

Fig. 1 shows how the reception conditions around a transmitter depend on the receiver's distance from said transmitter;

Fig. 2 shows how the bitstreams of L source signals are partitioned and encoded in order to obtain bitstreams for I different mapping levels;

Fig. 3A depicts a set of signal points for an amplitude modulation scheme, whereby the hyper constellation points of the robust layer are indicated as subclusters;

6/12/06, EAST Version: 2.0.3.0

Fig. 3B depicts the hyper constellation points of the enhancement layer for the amplitude modulation scheme shown in Fig. 3A;

Fig. 4 shows the dependence of the mean square of the constellation points' amplitudes, σ_M^2, and of the entropy, H(M), on the parameter λ of a discrete Gaussian probability distribution M;

Fig. 5 shows the setup of a hierarchical shaping encoder for quality level i;

Fig. 6 shows the scheduling of the transmission of data bits, shaping information and shaping information coding redundancy;

Fig. 7 shows the setup of a simplified hierarchical shaping encoder for quality level i, whereby the shaping information is not encoded by said encoder;

Fig. 8 shows the setup of a simplified hierarchical shaping encoder for quality level i, whereby both the shaping information and the bitstream of the highest mapping level of quality level i are not encoded;

Fig. 9 depicts a hierarchical decoder for quality level i, whereby both for the shaping information and the data, reliability information is generated and forwarded to higher decoding stages;

Fig. 10 shows how a sequence of received data blocks is decoded by a multi-stage decoder;

Fig. 11 shows the performance gain that can be achieved by using the invention for a first example;

Fig. 12 shows the performance gain that can be achieved by using the invention for second example.

[0039] In Fig. 1, a transmitter 1 emits a broadcast signal, which is received by a multitude of receivers located at various distances from said transmitter 1. The transmitter 1 may e. g. be a base station for a wireless telephone system, or a radio or TV station.

[0040] Receivers located within the coverage area 2 receive a powerful signal. For these receivers, the signal to noise ratio of the received signal is rather large. For receivers located within the coverage area 2, a slightly encoded high-quality signal, a so-called enhancement level signal, would be best for efficiently transmitting data via the broadcast channel.

[0041] Receivers located within the coverage area 3 receive a rather weak signal. The signal to noise ratio of the signal received within the coverage area 3 is low. Therefore, for receivers located within the coverage area 3, a robust signal with a large amount of coding redundancy is required.

[0042] Both the requirements of receivers located in the coverage area 2 and of receivers located within the coverage area 3 can be fulfilled by means of a hierarchical coding scheme. An encoder for such a hierarchical coding scheme is shown in Fig. 2. The encoder transforms bitstreams $q_0, q_1, ..., q_{L-1}$ of L different quality levels into a broadcast signal, whereby q_0 denotes the bitstream of the lowest quality level, and whereby q_{L-1} denotes the bitstream of the highest quality level. The bitstreams $q_0, q_1, ...q_{L-1}$ may e. g. be generated by L different signal sources.

[0043] The bitstreams $q_0, q_1, ...q_{L-1}$ are forwarded to the partitioning means 4, which generate I bitstreams $x_0, x_1, ... x_{I-1}$ of I different mapping levels by mapping each bitstream q_i of quality level i to l_i corresponding mapping levels, with $l_i \geq 1$. The total number I of mapping levels is obtained by adding the numbers l_i of the various quality levels (i = 0, 1, ..., L-1):

$$I = \sum_{i=0}^{L-1} l_i$$

[0044] Next, multilevel coding of the mapping level bitstreams is performed. Each of the I mapping level bitstreams $x_0, x_1, ... x_{I-1}$ is individually encoded by a corresponding encoder $E_0, E_1, ... E_{I-1}$, in order to generate the encoded bitstreams $c_0, c_1, ..., c_{I-1}$. The lower the quality of the respective mapping level bitstream is, the more coding redundancy will have to be added by the corresponding encoder. Therefore, the encoder E_0 adds a lot of coding redundancy to the bitstream x_0, while the amount of coding redundancy added to the bitstream x_{I-1} by the encoder E_{I-1} is rather small.

[0045] The resulting bitstreams $c_0, c_1, ..., c_{I-1}$ are forwarded to the mapping unit 5. It is important that the bitstreams

6/12/06, EAST Version: 2.0.3.0

$c_0, c_1, ..., c_{1-1}$ have equal data rates. This implies that the data rates of the bitstreams $x_0, x_1, ..., x_{1-1}$ are not equal to each other, because of the varying amounts of coding redundancy added by the encoders $E_0, E_1, ..., E_{1-1}$. In fact, the data rate of the bitstream x_0, which belongs to the lowest quality level, is typically much smaller than the data rate of the high-quality bitstream x_{1-1}. The bits of each mapping level bitstream represent so-called mapping labels. With each clock cycle, the mapping unit 5 maps the l incoming bits of the l mapping level bitstreams to the signal points of the modulation scheme. The l synchronously arriving mapping labels of the l mapping level bitstreams are bijectively mapped to the signal points of the set of signal points.

[0046] For the following example, the number of quality levels L is chosen to be two. Therefore, there is a source signal datastream q_0 for the robust layer, and a source signal datastream q_1 for the enhancement layer. These two source signal bitstreams q_0 and q_1 are partitioned into 1=3 mapping level bitstreams x_0, x_1 and x_2 . Two mapping level bitstreams, the bitstreams x_0 and x_1, correspond to quality level 0 (robust layer), and l_0 is equal to two. One mapping level bitstream, the bitstream x_2, corresponds to quality level 1 (enhancement layer), and l_1 is equal to one. The total number of mapping levels, 1, is equal to the sum of l_0 and l_1:

$$l = \sum_{i=0}^{L-1} l_i = l_0 + l_1 = 2 + 1 = 3$$

[0047] The encoders E_0, E_1 and E_2 add redundancy to the bitstreams x_0, x_1, x_2, preferably by applying a convolutional algorithm to these bitstreams. By individually encoding the bitstreams x_0, x_1, x_2, the bitstreams c_0, c_1, c_2 are generated, whereby the bitstreams c_0 and c_1 represent the robust level, and whereby the bitstream c_2 represents the enhancement level. Per cycle, each of the bitstreams c_0, c_1, c_2 contributes one bit. Per cycle of the data rate of the bitstreams c_0, c_1 and c_2, 1=3 bits have to be mapped to a set of $2^1 = 2^3 = 8$ signal points.

[0048] In Fig. 3A, a discrete and finite set of $2^3 = 8$ signal points for an amplitude modulation scheme such as 8-ASK (8-Amplitude Shift Keying) is shown. In order to provide additional degrees of freedom for the system design, the eight signal points 6, 7, 8, 9, 10, 11, 12, 13 are non-uniformly spaced.

[0049] When mapping the three mapping labels c_0, c_1 and c_2 to the set of signal points 6, 7, 8, 9, 10, 11, 12, 13, the mapping labels c_0 and c_1, which correspond to the robust quality level 0, will be considered first. The values of the mapping labels c_0 and c_1 determine in which one of the four subclusters 14, 15, 16, 17 the next signal point that is to be transmitted will be found. The subcluster 14, which comprises the signal points 6 and 7, is selected by the values c_0=0 and c_1=0. The signal points 8 and 9 form the subcluster 15, which is selected by c_0=1 and c_1=0. Subcluster 16 comprises the signal points 10 and 11 and corresponds to c_0=0 and c_1=1, and subcluster 17, which is selected by c_0=1 and c_1=1, comprises the signal points 12 and 13.

[0050] The value of the first mapping label, c_0, selects two out of four subclusters. If c_0 is equal to 0, the subclusters 14 and 16 are selected. Accordingly, if c_0 is equal to 1, the subclusters 15 and 17 are chosen.

[0051] By means of the second mapping label, c_1, one out of the two selected subclusters is determined. If c_0 has been equal to 0, the value of c_1 determines whether subcluster 14 or subcluster 16 comprises the actual signal point. If c_1 is equal to 0, subcluster 14 will be selected, and if c_1 is equal to 1, subcluster 16 will be selected.

[0052] Accordingly, if c_0 has been equal to 1, the value of c_1 determines whether subcluster 15 or subcluster 17 comprises the actual signal point. If c_1 is equal to 0, subcluster 15 will be selected, and if c_1 is equal to 1, subcluster 17 will be selected.

[0053] By considering the mapping labels corresponding to the robust quality level 0, which are the mapping labels c_0 and c_1, one of the four subclusters 14, 15, 16, 17 is selected. The centers of these four subclusters form the set A_0 of hyper constellation points for the quality level 0:

$$A_0 = \{u_{0,0}, u_{0,1}, u_{0,2}, u_{0,3}\}$$

[0054] The hyper constellation point $u_{0,0}$ is equal to the center coordinate of the subcluster 14, the hyper constellation point $u_{0,1}$ is equal to the center of subcluster 15, the hyper constellation point $u_{0,2}$ is equal to the center of subcluster 16, and the hyper constellation point $u_{0,3}$ is equal to the center of subcluster 17. By evaluating the mapping labels c_0, c_1 of the robust quality level 0, one of the four hyper constellation points of the set A_0 is selected.

[0055] In order to generalize the above-mentioned concept of hyper constellation points to any quality level i, with said quality level i comprising l_i mapping levels, the set of hyper constellation points A_i for said quality level i comprises 2^{l_i} hyper constellation points and can be represented as follows:

6/12/06, EAST Version: 2.0.3.0

$$A_i = \left\{ u_{i,0}, u_{i,1}, \ldots, u_{i,2^{l_i}-1} \right\}$$

[0056] Let us assume that subcluster 15 has been selected by the mapping labels c_0 and c_1, which are the mapping levels of the quality level 0. This implies that c_0 has been equal to 1, and that c_1 has been equal to 0.

[0057] Subcluster 15 comprises two signal points, signal point 8 and signal point 9. The selection of one of these two signal points is made according to the value of the mapping label c_2, which is the (only) mapping label corresponding to quality level 1. Therefore, $l_1=1$, and the set A_1 of hyper constellation points of the quality level 1 comprises $2^{l}1=2^1=2$ hyper constellation points $u_{1,0}$ and $u_{1,1}$:

$$A_1 = \left\{ u_{1,0}, u_{1,1} \right\}.$$

[0058] For this reason, each of the subclusters 14, 15, 16, 17 comprises exactly two signal points, with one of said subclusters being selected by the values of c_0 and c_1, and with one of the signal points within said subcluster being selected by the value of c_2. In Fig. 3B, the set A_1 of $2^{l}1=2$ hyper constellation points $u_{1,0}$ and $u_{1,1}$ is depicted. This set A_1 is the same for all possible values of of c_0 and c_1.

[0059] Next, the concept of shaping will be introduced. Shaping means that unequally distributed probabilities are assigned to a set of signal points. Let us for example consider the set $A_0 = \{u_{0,0}, u_{0,1}, u_{0,2}, u_{0,3}\}$, which comprises the four hyper constellation points $u_{0,0}$, $u_{0,1}$, $u_{0,2}$ and $u_{0,3}$. Without shaping, every hyper constellation point of said set A_0 would occur with the same probability $p_{U_0}(u_{0,k}) = 0{,}25$, with k = 0, 1, 2, 3. By shaping the probability distribution of the hyper constellation points $u_{0,0}$, $u_{0,1}$, $u_{0,2}$ and $u_{0,3}$, it is possible to enforce that some of these hyper constellation points, e. g. $u_{0,1}$ and $u_{0,2}$, occur more often than the other hyper constellation points (e. g. $u_{0,0}$ and $u_{0,3}$) of said set A_0. By doing this, it is possible to increase the amount of hyper constellation points with low signal amplitudes in the transmitted signal, and to reduce the amount of hyper constellation points with large signal amplitudes in the transmitted signal.

[0060] Shaping adds another degree of freedom to the system design by allowing the signal points to be of differing probability. The sum of the probabilities of the individual points of the constellation remains, of course, at 1. Because the average transmit power of a signal is reduced, shaping can significantly increase performance at little or no complexity for the receiver.

[0061] According to the invention, the concept of shaping is generalized to hierarchical modulation schemes. Theoretically any distribution of the probability mass function of the signal points can be applied and many will result in an increase in performance. According to the invention, the probability distribution is chosen individually and separately for each quality level i. Preferably, a discrete Gaussian distribution is chosen as the probability distribution on each quality level, as given by the following equation:

$$p_{U_i}(u_{i,k}) = W(\lambda_i) \cdot e^{-\lambda_i \cdot |u_{i,k}|^2}, \qquad \lambda_i \geq 0$$

[0062] Here, $u_{i,k}$ is the k^{th} hyper constellation point of the quality level i and denotes either the center of a subcluster or a signal point. $W(\lambda_i)$ has to be chosen in order to normalize the probability mass function and therefore

$$W(\lambda_i) = \left(\sum_{u_{i,k} \in A_i} e^{-\lambda_i \cdot |u_{i,k}|^2} \right)^{-1},$$

whereby A_i is defined as the set of all the hyper constellation points of quality level i,

$$A_i = \left\{ u_{i,0}, u_{i,1}, \ldots, u_{i,2^{l_i}-1} \right\}.$$

6/12/06, EAST Version: 2.0.3.0

By adjusting λ_i, the decline of the probability mass function $p_{U_i}(u_{i,k})$ can be defined. The λ_i are additional free design parameters and are chosen in order to maximize the joint transmission rates on the L quality levels. The larger the chosen λ_i is, the larger the deviations between the probabilities $p_{U_i}(u_{i,k})$ within the quality level i will be. This will affect $\sigma_{U_i}^2$, the mean square of the constellation points' amplitudes, which is defined as

$$\sigma_{U_i}^2 = \sum_{k=0}^{2^{l_i}-1} \left|u_{i,k}\right|^2 \cdot p_{U_i}(u_{i,k})$$

[0063] The larger the chosen λ_i is, the smaller $\sigma_{U_i}^2$, the mean square of the constellation points' amplitudes, will be, and the smaller the average transmit power will get.

[0064] This is not the only aspect that has to be considered when choosing the best value for λ_i, though. $H(U_i)$ denotes the entropy of the set of signal points used for data transmission when the probabilities of said signal points are given by the probability distribution U_i. The entropy $H(U_i)$ reaches its maximum when all the signal points occur with equal probability. This corresponds to the case $\lambda_i=0$.

[0065] In Fig. 4, the dependence of both the mean square of the constellation points' amplitudes, σ_M^2, and of the entropy, H(M), on the parameter λ of a discrete Gaussian probability distribution M are shown. The higher the entropy H(M) is, the more information can be transmitted with a given number of bits. The smaller λ gets, the higher the entropy H(M) will be. Therefore, a large value of λ is advantageous as far as the mean square σ_M^2 of the signal point amplitudes is concerned, but not desirable as far as the entropy H(M) is concerned. By means of a trade-off between H(M) and σ_M^2, the optimum value of λ can be determined.

[0066] The probability of the signal points m in the full signal alphabet A is obtained as the product of the probabilities of the subclusters of the individual quality levels to which m belongs:

$$p_M(m) = \prod_{i=0}^{L-1} p_{U_i}(u_{i,k}) \qquad \text{for } m \in A_{i,k}$$

[0067] Hereby, $A_{i,k}$ is defined as the set of signal points belonging to the subcluster with index k on quality level i, and L is the number of quality levels of the hierarchical modulation scheme. It has to be noted that $\Sigma_{m\in A} p_M(m) = 1$ is always fulfilled since the individual probability mass functions are normalized, the mapping between labels and signal points is bijective and the mapping of the signal points into the subclusters is regular and bijective.

[0068] For the highest quality level, each subcluster simplifies to a single signal point. The resulting probability of each individual signal point is simply the product of all the subclusters' probabilities on the different quality levels to which said signal point belongs.

[0069] In Fig. 5, the structure of a hierarchical shaping encoder for quality level i is shown. The source signal bitstream q_i is forwarded to the partitioning means 18, which transform said source signal bitstream q_i into the mapping level bitstreams $x_{l_{i\Sigma}-l_i+1}, x_{l_{i\Sigma}-l_i+2}, \ldots, x_{l_{i\Sigma}}$ which correspond to the quality level i. Hereby, $l_{i\Sigma} - l_i + 1$ denotes the index of the lowest mapping level bitstream corresponding to quality level i, whereas $l_{i\Sigma}$ denotes the index of the highest mapping level bitstream corresponding to quality level i. The index $l_{i\Sigma}$ is given by

$$l_{i\Sigma} = \left(\sum_{j=0}^{i} l_j\right) - 1,$$

whereby the parameters l_j denote the number of mapping levels belonging to a lower quality level j.

[0070] For the example given in Fig. 3A, L=2, l_0=2, and l_1=1. For the robust quality level, i=0, and $l_{0\Sigma} = l_0 - 1 = 1$. The highest mapping level bitstream of quality level 0 is therefore x_1. Accordingly, $l_{0\Sigma} - l_0 + 1 = 1 - 2 + 1 = 0$, and therefore the lowest mapping level bitstream of quality level 0 is x_0.

[0071] The bitstreams $x_{l_{i\Sigma}-l_i+1}, x_{l_{i\Sigma}-l_i+2}, \ldots, x_{l_{i\Sigma}}$ are forwarded to the respective encoders $E_{l_{i\Sigma}-l_i+1}, E_{l_{i\Sigma}-l_i+2}, \ldots, E_{l_{i\Sigma}}$. For each mapping level, a separate encoder is provided, which adds coding redundancy to the mapping level bitstreams. The encoded bitstreams $c_{l_{i\Sigma}-l_i+1}, c_{l_{i\Sigma}-l_i+2}$ of the lower mapping levels are directly forwarded to the (sub-)mapping unit 19

6/12/06, EAST Version: 2.0.3.0

for quality level i. The encoded bitstream generated by the encoder E_{i_Σ} is forwarded, together with the coding redundancy 20 for the shaping information from the last data block, to the converter 21. By interleaving said two bitstreams, the bitstream $\tilde{c}_{1,i}$ is generated. Said bitstream $\tilde{c}_{1,i}$ is forwarded to the shaper 22 for quality level i, which preferably is a Trellis shaper. By adding shaping bits to the bitstream of the highest mapping level, the shaper 22 enforces a predefined probability distribution within the hyper constellation points $u_{l,k}$ of quality level i. By adding shaping bits, the bitstream $\tilde{c}_{1,i}$ is transformed into the shaped bitstream c_{i_Σ}, which is forwarded to the mapping unit 19.

[0072] The three bitstreams $c_{i_\Sigma-l_i+1}$, $c_{i_\Sigma-l_i+2}$, ..., c_{i_Σ} serve as input bitstreams for the mapping unit 19 for quality level i, which maps said mapping labels to symbols m_i. In order to be able to map the arriving bits of said three bitstreams to the symbol m_i, the data rates of the three bitstreams $c_{i_\Sigma-l_i+1}$, $c_{i_\Sigma-l_i+2}$, ..., c_{i_Σ} have to be equal to each other. This can be achieved by carefully choosing the data rates of the bitstreams $x_{i_\Sigma-l_i+1}$, $x_{i_\Sigma-l_i+2}$, ..., x_{i_Σ} and the extent of redundancy added by the encoders $E_{i_\Sigma-l_i+1}$, $E_{i_\Sigma-l_i+2}$, ..., E_{i_Σ}.

[0073] The shaping information $C_{S,i}$ for quality level i, which is preferably generated by the shaper 22 by applying a Trellis algorithm and solving a path optimization problem, is forwarded to the shaping encoder E_{shape}. The shaping encoder E_{shape} generates coding redundancy 23 for said shaping information $C_{S,i}$, which is transmitted together with the next data block.

[0074] Fig. 6 shows how the transmission of data bits, shaping information and coding redundancy for the shaping information can be scheduled. In the region 24, for which the shaping operation has already been carried out, data blocks 25 and shaping information 26 are alternatingly transmitted. The shaping information 26 is encoded by a shaping encoder, which generates shaping information coding redundancy 28. Said shaping information coding redundancy 28 is transmitted with a time delay 27 relative to the corresponding shaping information 26, whereby the length of said time delay 27 exceeds the length of the shaping window 30.

[0075] The reason for this time delay is that within the shaping window 30, the trellis algorithm used for performing the shaping operation is active, which means that the blocks of shaping information 31 within said current shaping window 30 are still subject to changes. For this reason, the shaping information coding redundancy for the blocks of shaping information 31 cannot be generated until said blocks of shaping information 31 have left the current shaping window 30. Therefore, the shaping information coding redundancy for the blocks of shaping information 31 is transmitted with a time delay 32 relative to said shaping information 31.

[0076] In Fig. 7, a simplified hierarchical shaping encoder for quality level i is shown. As in the solution depicted in Fig. 5, the source signal bitstream q_i is partitioned, by the partitioning means 33, into the bitstreams $x_{i_\Sigma-l_i+1}$, $x_{i_\Sigma-l_i+2}$, ..., x_{i_Σ}. The encoded bitstream $\tilde{c}_{1,i}$ of the highest mapping level is forwarded to the shaping unit 34, which transforms said encoded bitstream $\tilde{c}_{1,i}$ into the shaped bitstream c_{i_Σ}, which is forwarded to the mapping unit 35. The shaping information generated by the shaper 34 is not encoded, though. If the transmission conditions are sufficiently good, the shaping encoder can be omitted, and no shaping information coding redundancy is generated.

[0077] A further simplified hierarchical shaping encoder for quality level i is shown in Fig. 8. As in the solution depicted in Fig. 5, the source signal bitstream q_i is partitioned, by the partitioning means 36, into the bitstreams $x_{i_\Sigma-l_i+1}$, $x_{i_\Sigma-l_i+2}$, ..., x_{i_Σ}. According to this solution, the bitstream x_{i_Σ} for the highest mapping level of quality level i is not encoded at all. The encoder E_{i_Σ} for said highest mapping level has been omitted. The bitstream x_{i_Σ} is shaped by the trellis shaper 37, and the shaped bitstream c_{i_Σ} is forwarded to the mapping unit 38. Especially if the energetic separation between the signal points selected by said highest mapping label is large, it is often not necessary to encode said highest mapping level.

[0078] Fig. 9 shows the i^{th} stage of a multi-stage decoder for decoding a hierarchically modulated signal. Received symbols r are forwarded to the combined demapping/decoding units $D_{i_\Sigma-l_i+1}$ and $D_{i_\Sigma-l_i+2}$. On the highest mapping level corresponding to quality level i, the demapping unit $Demapper_{i_\Sigma}$ has been separated from the decoding unit $Decoder_{i_\Sigma}$. The combined demapping/decoding units $D_{i_\Sigma-l_i+1}$ and $D_{i_\Sigma-l_i+2}$ demap the bitstream corresponding to the respective mapping level from the received symbols r. Information 39 from lower quality levels, which comprises reliability information, is considered when said demapping is performed. Next, the combined demapping/decoding units $D_{i_\Sigma-l_i+1}$ and $D_{i_\Sigma-l_i+2}$ decode the demapped data streams and remove the coding redundancy. Thus, the decoded data streams $\hat{x}_{i_\Sigma-l_i+1}$ and $\hat{x}_{i_\Sigma-l_i+2}$ are generated. Additionally, the combined demapping/decoding units $D_{i_\Sigma-l_i+1}$ and $D_{i_\Sigma-l_i+2}$ and the $Decoder_{i_\Sigma}$ generate re-encoded soft or hard decision information 45 which represents an improved version of the received signal r. The re-encoded soft or hard decision information 45 is used by demappers of higher levels.

[0079] The demapped bitstream $\hat{c}_{i_\Sigma}$ comprises data blocks, blocks with shaping information, and shaping information coding redundancy, as shown in Fig. 6. In the converter 40, the shaping information $\hat{C}_{S,i}$ is separated from the demapped bitstream $\hat{c}_{i_\Sigma}$ and forwarded to the decoder $D_{shape,i}$ for the shaping information of quality level i. The converter 41 separates the shaping information coding redundancy 42 for the last block, which has been transmitted with a time delay, from the data stream. The remaining bitstream, from which both the shaping information and the shaping information coding redundancy have been separated, is decoded by the $Decoder_{i_\Sigma}$ in order to generate the decoded bitstream $\hat{x}_{i_\Sigma}$.

[0080] Together with the shaping information $\hat{C}_{S,i}$, also the shaping information coding redundancy 43 for the current block is forwarded to the decoder $D_{shape,i}$ for the shaping information of quality level i. By means of said shaping

6/12/06, EAST Version: 2.0.3.0

information coding redundancy, the decoder $D_{shape,I}$ can generate a bitstream of error-corrected shaping information, which is forwarded to the reliability information generation means 44. Said reliability information generation means 44 use the decoded and error-corrected shaping information for generating a re-encoded version 47 of said shaping information. The quality of the respective reliability information (the re-encoded soft or hard decision information 45 and the re-encoded version 47 of the shaping information) is superior to the initially received information, because transmission errors within the shaping information and within the data have been removed.

[0081] Both the re-encoded soft or hard decision information 45 and the re-encoded version 47 of the shaping information are part of the information 46 for the higher quality levels. The higher quality levels derive information about the signal constellations within the lower quality levels from said re-encoded information 45, 47.

[0082] In Fig. 10, it is shown how a sequence of received data blocks is decoded by a multi-stage decoder. The hierarchical modulation scheme comprises two layers, a robust layer of quality level 0 (QL0) and an enhancement layer of quality level 1 (QL1). The receiver is switched on when the transmission block [n-1] is received. The transmission block [n-1] comprises data of quality level 0 for block [n-1], and data of quality level 1 for block [n-1]. Coding redundancy for any shaping information is always received with a time delay of one block. Therefore, the shaping information coding redundancy for block [n-2] is transmitted within the transmission block [n-1].

[0083] During the reception of transmission block [n-1], the receiver can decode the data of quality level 0. The shaping information coding redundancy for transmission block [n-1] is not available yet. This shaping information coding redundancy is required for generating error-corrected shaping information, and said error-corrected shaping information is required for providing the higher decoding stages of the multi-stage decoder with a re-encoded bitstream. Therefore, it is not possible to decode the data of quality level 1 for block [n-1] during the reception of the transmission block [n-1].

[0084] Next, the transmission block [n] is received, which contains data of quality level 0 for block [n], data of quality level 1 for block [n], and the shaping information coding redundancy for transmission block [n-1]. The data of quality level 0 for block [n] can be decoded during the reception of transmission block [n]. The shaping information coding redundancy for transmission block [n-1] is now available, and therefore, the shaping information for transmission block [n-1] can be decoded. As soon as the error-corrected shaping information for block [n-1] is known, it is possible to decode the data of quality level 1 of block [n-1].

[0085] The transmission block [n+1] contains data of quality level 0 for block [n+1], data of quality level 1, and the shaping information coding redundancy for block [n]. Accordingly, it is possible to decode the data of quality level 0 for block [n+1], the shaping information for block [n], and data of quality level 1 for the preceding transmission block [n].

[0086] Next, examples for the performance increase that can be achieved with a shaped hierarchical modulation scheme according to the invention are presented. The first example, depicted in Fig. 11, shows the performance gain due to the invention in an Additive White Gaussian Noise (AWGN) channel for systems with two quality levels, whereby the signal to noise ratio of the robust level is 0 dB, and whereby the signal to noise ratio of the enhancement level is 10 dB. As reference curves the optimally achievable performance of the Shannon-Cover bound is included. Also the well known, but not very efficient, time sharing (TS) performance is given. Two signal constellations are compared: 8-ASK and 16-ASK, each with and without shaping. The 8-ASK constellation uses one mapping level for the robust level and two mapping levels for the enhancement level, whereas 16-ASK uses two mapping levels for each quality level. Therefore the 16-ASK scheme applies independent mapping on two quality levels as described in the invention. Clearly it results in the highest performance of the five practical schemes presented and is also the most flexible one of the four examples presented using Amplitude Shift Keying (ASK).

[0087] A second example is given in Fig. 12. Again 8-ASK and 16-ASK are compared. They both use only one mapping level for the enhancement layer and two or three mapping levels respectively for the robust layer. This change in mapping is due to the different signal to noise ratios for the robust layer and for the enhancement layer. The signal to noise ratio for the robust layer is 5 dB, and the signal to noise ratio for the enhancement layer is 15 dB. It is further influenced by the region of pairs of transmission rates that we want to achieve in this example. Again 16-ASK is the scheme performing best.

Claims

1. Transmitter for signals modulated according to a hierarchical modulation scheme, whereby source signal bitstreams (q_i) of L different quality levels are partitioned into bitstreams ($x_0, \ldots, x_{I-1}$) for I mapping levels, each quality level i being represented by l_i corresponding mapping labels, whereby by setting the l_i mapping labels corresponding to said quality level i, one of 2^{l_i} hyper constellation points ($u_{l,k}$) of said quality level i is selected, with each hyper constellation point ($u_{l,k}$) representing a subcluster of the set of signal points,
characterized by
means for shaping, individually for each quality level i, the probability distribution within at least one of the quality

6/12/06, EAST Version: 2.0.3.0

levels, which assign unequally distributed probabilities ($p_{U_i}(u_{i,k})$) to the 2^{l_i} hyper constellation points ($u_{i,k}$) of a quality level i.

2. Transmitter according to any of the preceding claims, **characterized in that** each hyper constellation point ($u_{i,k}$) corresponds either to the coordinate of the center of the subcluster it represents, or to a signal point.

3. Transmitter according to any of the preceding claims, **characterized by** means for multilevel coding, which comprise a set of encoders (E_0, ..., E_{l-1}) for separately encoding the bitstreams of said l mapping levels.

4. Transmitter according to any of the preceding claims, **characterized in that** for at least one quality level, the bitstream of the highest mapping level ($x_{l_i,\Sigma}$) corresponding to said quality level is not encoded at all.

5. Transmitter according to any of the preceding claims, **characterized in that** said means for shaping comprise at least one shaping unit (22, 34, 37) per quality level, which enforce, according to shaping information that is utilized by said shaping units (22, 34, 37) during the shaping operation, an unequally distributed probability distribution of the 2^{l_i} hyper constellation points ($u_{i,k}$) of said quality level.

6. Transmitter according to any of the preceding claims, **characterized in that** said shaping units (22, 34, 37) are realized as Trellis shaping units.

7. Transmitter according to any of the preceding claims, **characterized by** shaping information encoding means (E_{shape}) for at least one of said quality levels for generating shaping information coding redundancy (28, 29), which is transmitted together with said shaping information (26, 31), in order to protect said shaping information.

8. Transmitter according to any of the preceding claims, **characterized in that** the extent of shaping information coding redundancy (28, 29) for quality level i is chosen according to the signal to noise ratio of quality level i+1.

9. Transmitter according to any of the preceding claims, **characterized in that** said shaping information coding redundancy (28, 29) is transmitted with a time delay relative to said shaping information (26, 31), whereby said time delay is larger than the length of the shaping window (30) used by the shaping units (22, 34, 37) for enforcing the desired probability distribution.

10. Transmitter according to any of the preceding claims, **characterized in that** said probability distribution ($p_{U_i}(u_{l,k})$) within at least one quality level i is a discrete Gaussian distribution.

11. Transmitter according to any of the preceding claims, **characterized in that** said set of signal points (6, ..., 13) is a non-uniformly spaced set of signal points.

12. Transmitter according to any of the preceding claims, **characterized in that** said set of signal points (6, ..., 13) is a regular set of signal points, with the subclusters (14, 15, 16, 17) of a respective quality level i being symmetrically distributed around zero.

13. Transmitter according to any of the preceding claims, **characterized in that** said modulation scheme is an Amplitude Shift Keying (ASK) or a Quadrature Amplitude Modulation (QAM) scheme, and particularly a 8-ASK, 16-ASK, 64-QAM or 256-QAM scheme.

14. Multi-stage decoder for decoding a signal modulated according to a hierarchical modulation scheme, said signal being composed of source signal bitstreams (q_i) of L different quality levels, which have been partitioned into bitstreams for l mapping levels, whereby each quality level i is represented by l_i corresponding mapping levels, **characterized by**

- decoding means, for each quality level i, which decode the l_i corresponding mapping level bitstreams, and which provide the decoding means of higher quality levels with reliability information (45) on the decoded mapping level bitstreams;
- means for separating (40) the shaping information for at least one quality level i from the received mapping level bitstreams for quality level i;
- reliability information generation means (44), which produce reliability information (47) on the shaping information for quality level i.

6/12/06, EAST Version: 2.0.3.0

15. Multi-stage decoder according to claim 14, **characterized in that** one of 2^{l_i} hyper constellation points ($u_{i,k}$) of said quality level i is selected by decoding the l_i mapping labels corresponding to said quality level i, whereby each hyper constellation point ($u_{i,k}$) corresponds either to the coordinate of the center of a subcluster it represents, or to a signal point.

16. Multi-stage decoder according to claim 14 or claim 15, **characterized by** means for separating (41) the shaping information coding redundancy (42) for quality level i from the received mapping level bitstreams for quality level i.

17. Multi-stage decoder according to any of claims 14 to 16, **characterized by** means for decoding ($D_{shape,i}$) said shaping information ($\hat{C}_{S,i}$) for quality level i together with said shaping information coding redundancy (43) for quality level i, which generate error-corrected shaping information for quality level i.

18. Multi-stage decoder according to any of claims 14 to 17, **characterized by** means for storing a block of received data, which store a block of received data until the shaping information coding redundancy for said block of received data, which is transmitted with a delay relative to said shaping information itself, has been received.

19. Multi-stage decoder according to claim 17 or claim 18, **characterized in that** said error-corrected shaping information for quality level i is forwarded to said reliability information generation means (44), which produce reliability information (47) on the shaping information for quality level i.

20. Multi-stage decoder according to any of claims 14 to 19, **characterized in that** said reliability information (47) on the shaping information for quality level i is hard-quantised reliability information, which is preferably obtained by re-encoding said error-corrected shaping information for quality level i.

21. Multi-stage decoder according to any of claims 14 to 20, **characterized in that** said reliability information (45) on said mapping level bitstreams is hard-quantised reliability information, which is preferably obtained by re-encoding said decoded mapping level bitstreams.

22. Multi-stage decoder according to any of claims 14 to 21, **characterized in that** said set of signal points (6, ..., 13) is a regular set of signal points, with the subclusters (14, 15, 16, 17) of a respective quality level i being symmetrically distributed around zero.

23. Multi-stage decoder according to any of claims 14 to 22, **characterized by** shifting means, which shift, each time a mapping label has been decoded, the hyper constellation points of a corresponding respective subcluster by an offset value (σ_0, σ_1, σ_2), such that the hyper constellation points of said subcluster are centred at zero.

24. Multi-stage decoder according to any of claims 14 to 23, **characterized in that** said modulation scheme is an Amplitude Shift Keying (ASK) or a Quadrature Amplitude Modulation (QAM) scheme, and particularly a 8-ASK, 16-ASK, 64-QAM or 256-QAM scheme.

25. A broadcast signal modulated according to a hierarchical modulation scheme, said broadcast signal being composed of source signal bitstreams (q_l) of L different quality levels, each quality level i being represented by l_i corresponding mapping labels, whereby by setting the l_i mapping labels corresponding to said quality level i, one of 2^{l_i} hyper constellation points ($u_{i,k}$) of said quality level i is selected, with each hyper constellation point ($u_{i,k}$) representing a subcluster of the set of signal points,
characterized in that
the probability distributions of the 2^{l_i} hyper constellation points ($u_{i,k}$) within at least one of the quality levels have been shaped, individually for each quality level i, in a way that the l_i hyper constellation points ($u_{i,k}$) of a quality level i occur with unequally distributed probabilities ($p_{U_i}(u_{i,k})$).

26. Broadcast signal according to claim 25, **characterized in that** said broadcast signal comprises separately encoded bitstreams of said l mapping levels.

27. Broadcast signal according to claim 25 or claim 26, **characterized in that** the probability distributions of the 2^{l_i} hyper constellation points within at least one of the quality levels have been shaped according to shaping information that is utilized during the shaping operation, whereby said broadcast signal comprises both said shaping information and said encoded bitstreams.

6/12/06, EAST Version: 2.0.3.0

28. Broadcast signal according to any of claims 25 to 27, **characterized in that** said broadcast signal comprises shaping information coding redundancy which is generated by encoding said shaping information.

29. Broadcast signal according to any of claims 25 to 28, **characterized in that** said probability distribution within at least one quality level i is a discrete Gaussian distribution.

30. Broadcast signal according to any of claims 25 to 29, **characterized in that** said set of signal points is a non-uniformly spaced set of signal points.

31. Broadcast signal according to any of claims 25 to 30, **characterized in that** said set of signal points is a regular set of signal points, with the subclusters of a respective quality level i being symmetrically distributed around zero.

32. Broadcast signal according to any of claims 25 to 31, **characterized in that** said modulation scheme is an Amplitude Shift Keying (ASK) or a Quadrature Amplitude Modulation (QAM) scheme, and particularly a 8-ASK, 16-ASK, 64-QAM or 256-QAM scheme.

33. A method for generating a broadcast signal according to a hierarchical modulation scheme, said broadcast signal being composed of source signal bitstreams (q_i) of L different quality levels, each quality level i being represented by l_i corresponding mapping labels, whereby by setting the l_i mapping labels corresponding to said quality level i, one of 2^{l_i} hyper constellation points ($u_{i,k}$) of said quality level i is selectable, with each hyper constellation point ($u_{i,k}$) representing a subcluster of the set of signal points, **characterized by**
performing a shaping operation, individually for each quality level, by assigning unequally distributed probabilities ($p_{U_i}(u_{i,k})$) to the 2^{l_i} hyper constellation points ($u_{i,k}$) of a quality level i within at least one of the quality levels.

34. Method according to claim 33, **characterized in that** the bitstreams of said l mapping levels are separately encoded.

35. Method according to claim 33 or claim 34, **characterized in that** said shaping operation is performed according to shaping information that is utilized during said shaping operation.

36. Method according to any of claims 33 to 35, **characterized by** generating shaping information coding redundancy for at least one of said quality levels, and transmitting said shaping information coding redundancy together with said shaping information in order to protect said shaping information.

37. Method according to claim 36, **characterized by** choosing the extent of shaping information coding redundancy for quality level i according to the signal to noise ratio of quality level i+1.

38. Method according to any of claims 33 to 37, **characterized in that** said probability distribution within at least one quality level i is a discrete Gaussian distribution.

6/12/06, EAST Version: 2.0.3.0

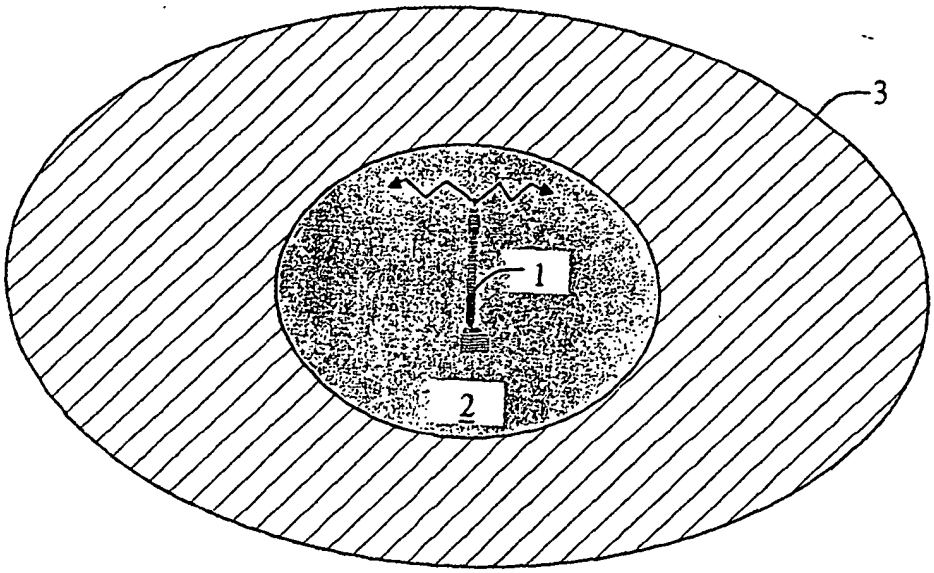

Fig. 1

6/12/06, EAST Version: 2.0.3.0

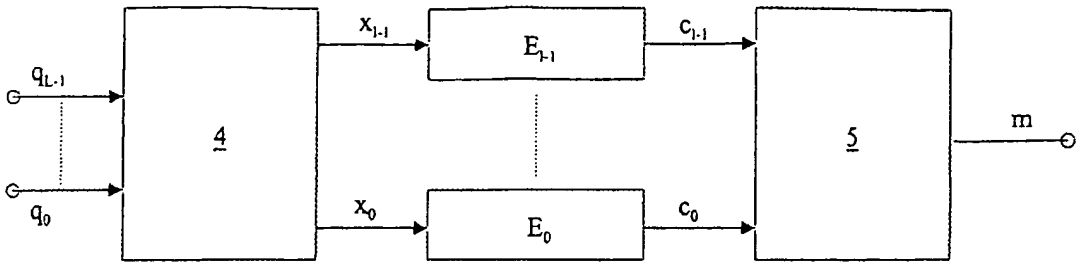

Fig. 2

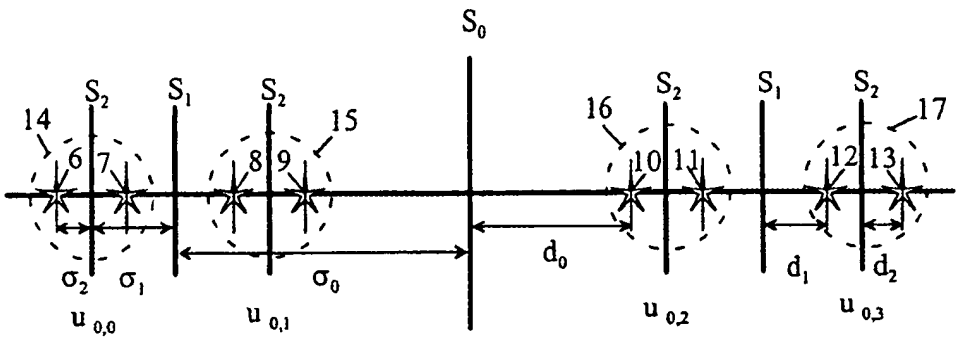
S0
S2
S1
S2
S2
S1
S2
14
15
16
17
6
7
8
9
10
11
12
13
σ2
σ1
σ0
d0
d1
d2
u 0,0
u 0,1
u 0,2
u 0,3

Fig. 3A

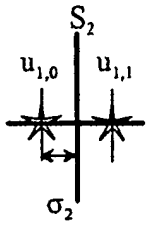
S2
u1,0
u1,1
σ2

Fig. 3B

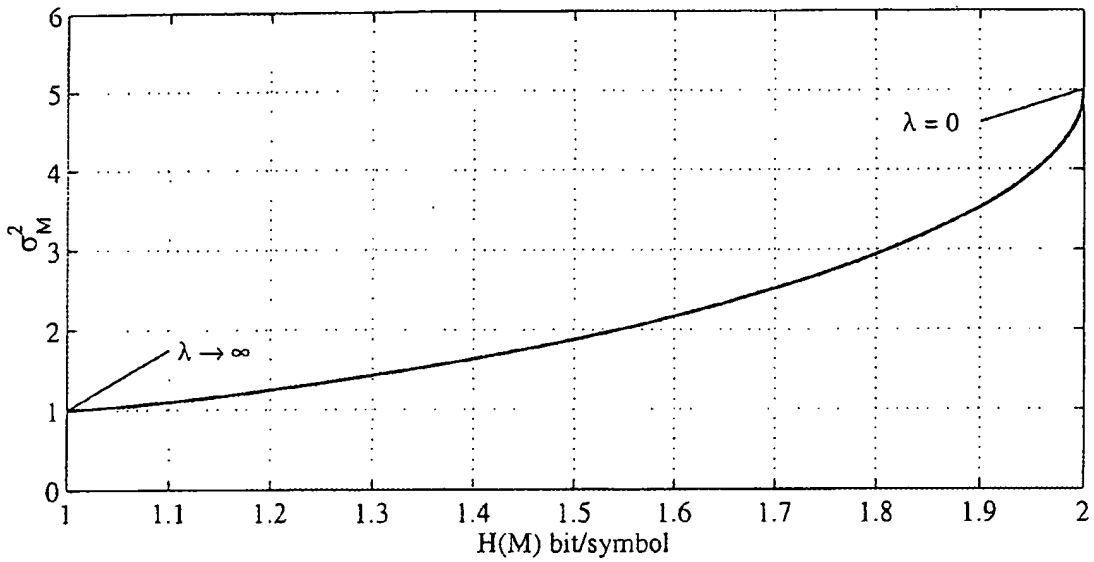
6
5
4
3
2
1
0
σ^2_M
$\lambda = 0$
$\lambda \to \infty$
1
1.1
1.2
1.3
1.4
1.5
1.6
1.7
1.8
1.9
2
H(M) bit/symbol

Fig. 4

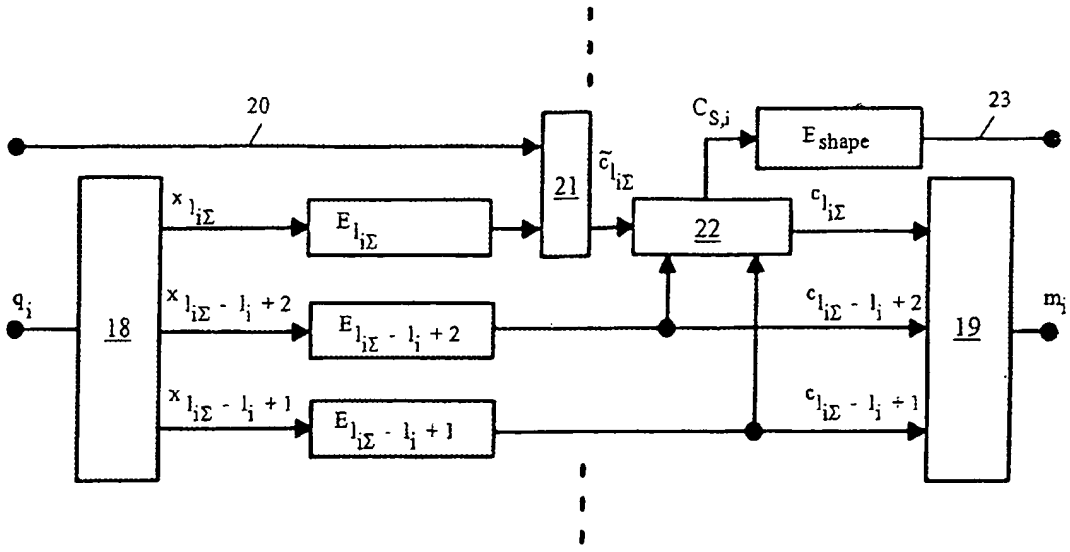

Fig. 5

6/12/06, EAST Version: 2.0.3.0

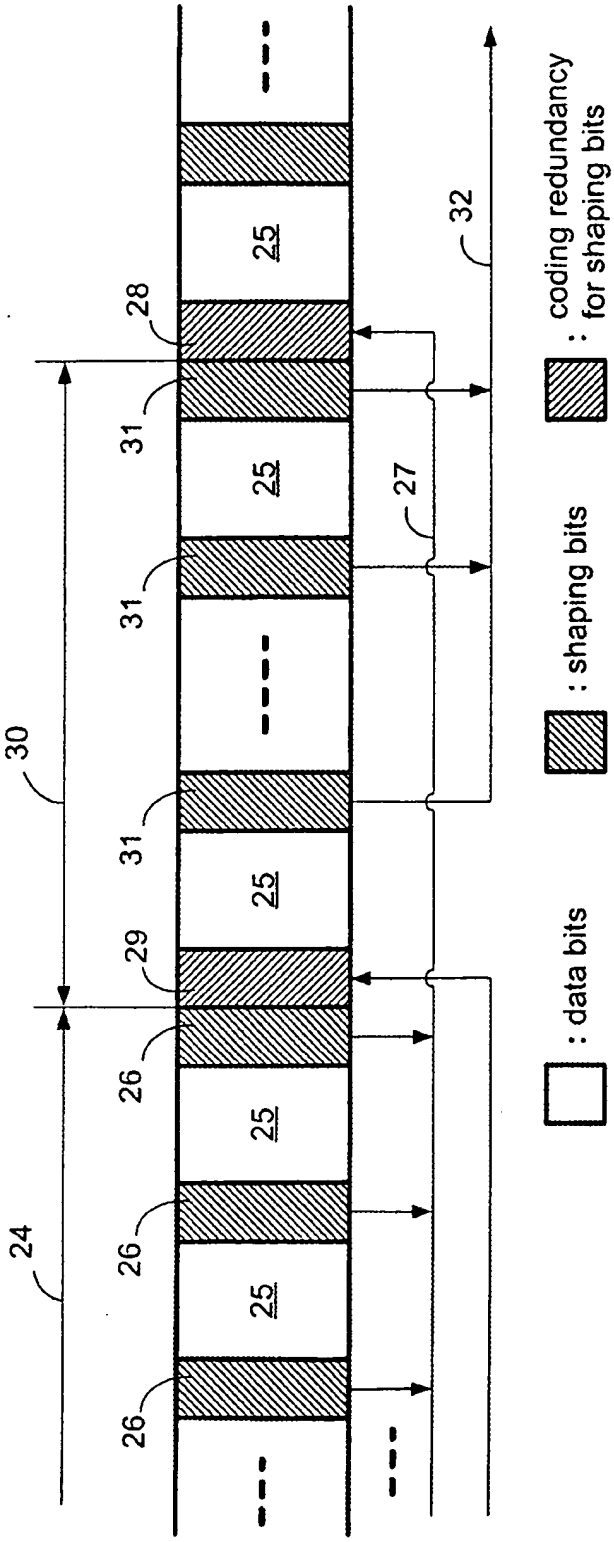
24
25
26
27
28
29
30
31
32
: data bits
: shaping bits
: coding redundancy for shaping bits

Fig. 6

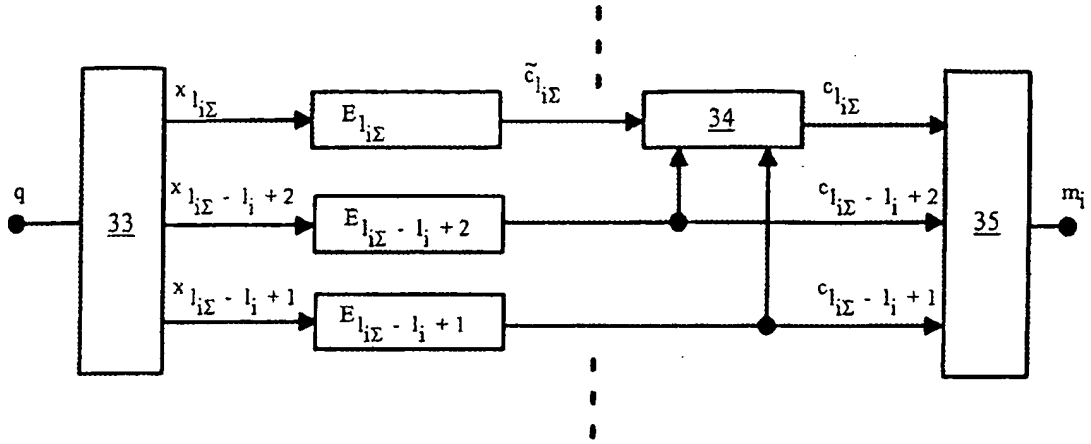

Fig. 7

6/12/06, EAST Version: 2.0.3.0

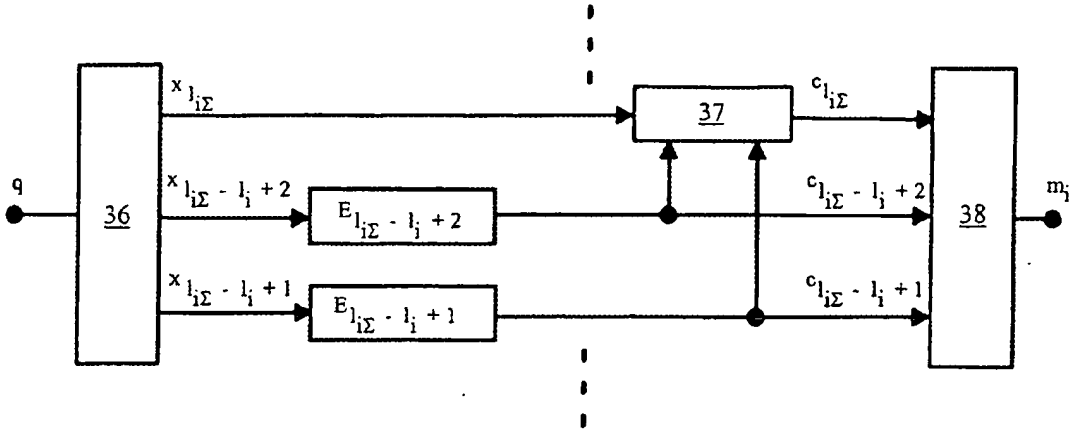

Fig. 8

6/12/06, EAST Version: 2.0.3.0

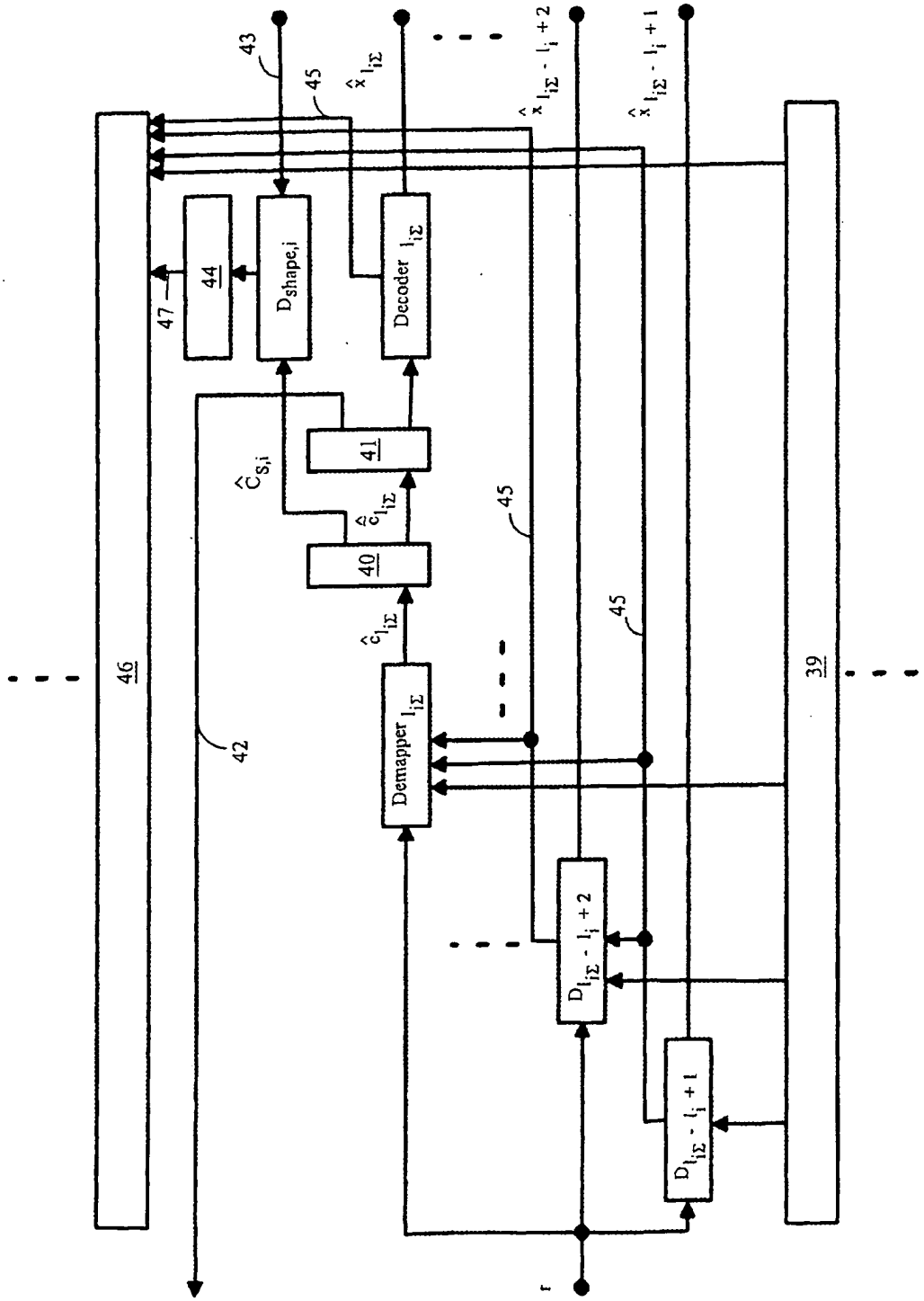
43
45
$\hat{x}_{l_{i\Sigma}}$
$\hat{x}_{l_{i\Sigma}-l_i+2}$
$\hat{x}_{l_{i\Sigma}-l_i+1}$
44
47
$D_{shape,i}$
Decoder $l_{i\Sigma}$
41
$\hat{c}_{s,i}$
$\hat{e}_{l_{i\Sigma}}$
40
$\hat{c}_{l_{i\Sigma}}$
45
45
46
42
Demapper $l_{i\Sigma}$
39
$D_{l_{i\Sigma}-l_i+2}$
$D_{l_{i\Sigma}-l_i+1}$
r

Fig. 9

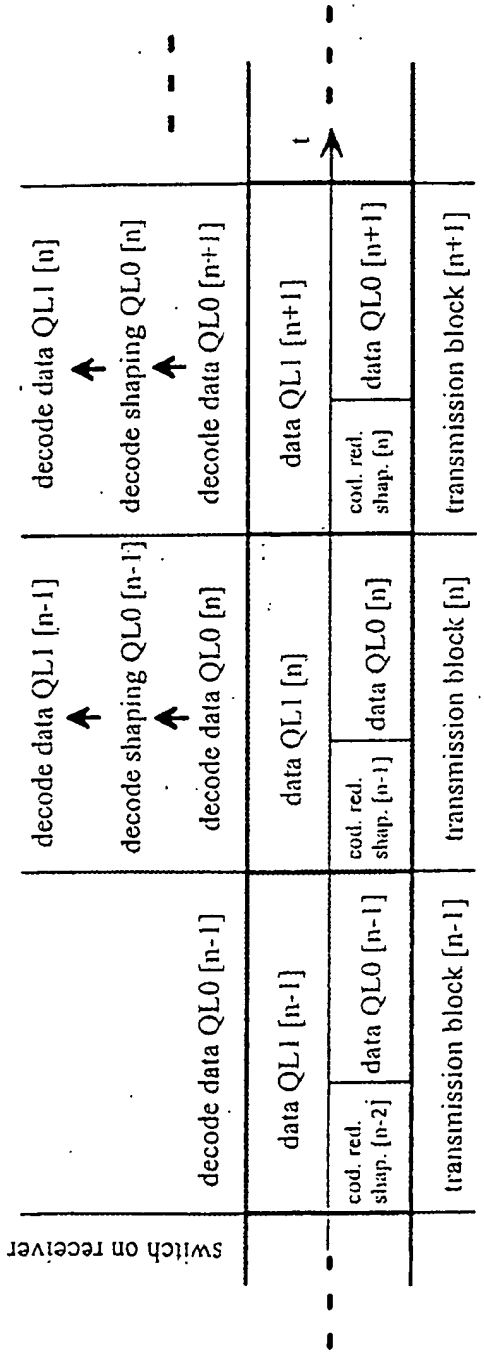

Fig. 10

6/12/06, EAST Version: 2.0.3.0

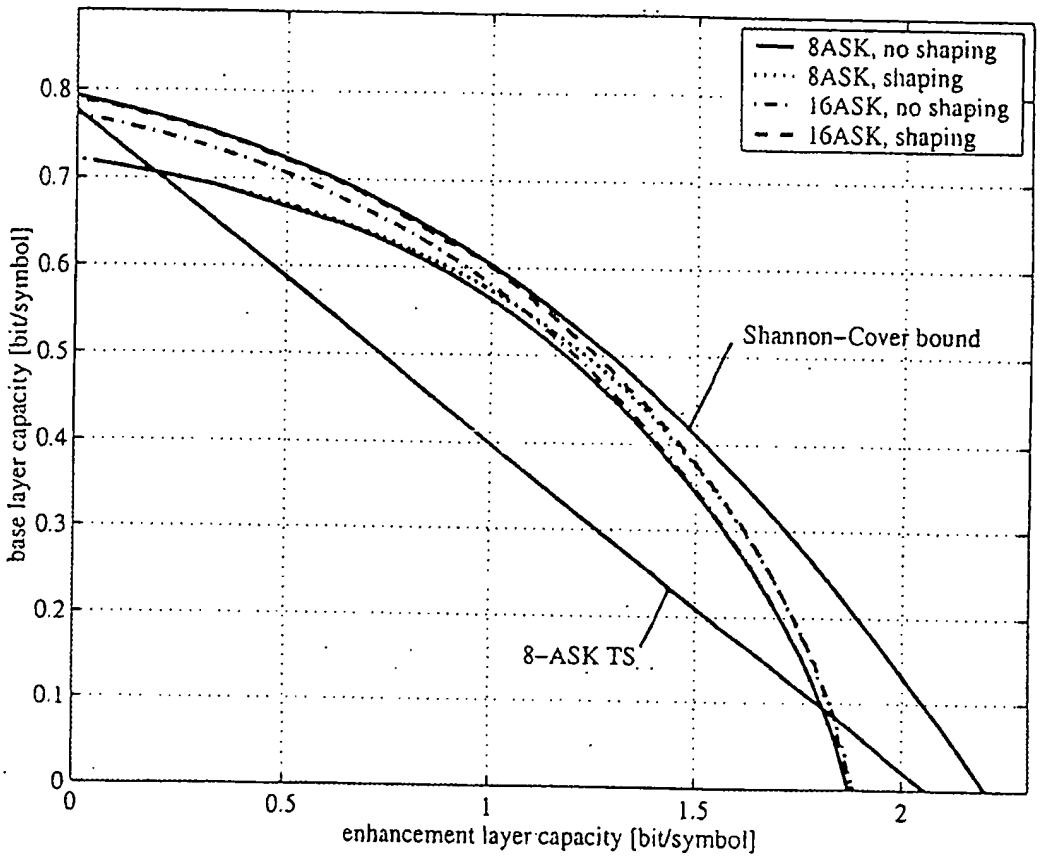
8ASK, no shaping
8ASK, shaping
16ASK, no shaping
16ASK, shaping
Shannon–Cover bound
8–ASK TS
base layer capacity [bit/symbol]
enhancement layer capacity [bit/symbol]
0
0.1
0.2
0.3
0.4
0.5
0.6
0.7
0.8
0.5
1
1.5
2

Fig. 11

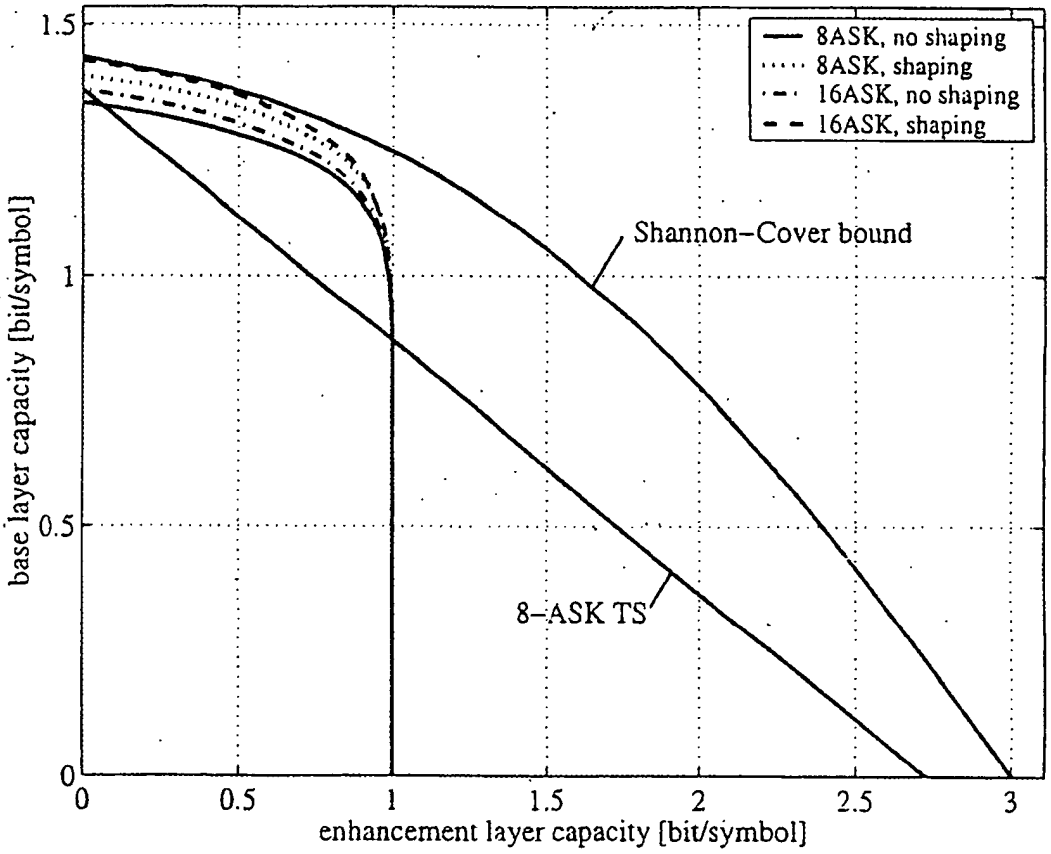
8ASK, no shaping
8ASK, shaping
16ASK, no shaping
16ASK, shaping
Shannon–Cover bound
8–ASK TS
base layer capacity [bit/symbol]
enhancement layer capacity [bit/symbol]
0
0.5
1
1.5
2
2.5
3

Fig. 12

European Patent Office

EUROPEAN SEARCH REPORT

Application Number
EP 01 13 0988

DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
Y	POLLEY M O ET AL: "Hybrid channel coding for multiresolution HDTV terrestrial broadcasting" PROCEEDINGS OF THE INTERNATIONAL CONFERENCE ON IMAGE PROCESSING (ICIP) AUSTIN, NOV. 13 - 16, 1994, LOS ALAMITOS, IEEE COMP. SOC. PRESS, US, vol. 3 CONF. 1, 13 November 1994 (1994-11-13), pages 243-247, XP010145963 ISBN: 0-8186-6952-7 * page 245, left-hand column, paragraph 4.1 - page 246, left-hand column, paragraph 4.4; figures 3-5 *	1-13, 25-38	H04L27/34 H04L27/04
A	KANNAN RAMCHANDRAN: "MULTIRESOLUTION BROADCAST FOR DIGITAL HDTV USING JOINT SOURCE/ CHANNEL CODING" IEEE JOURNAL ON SELECTED AREAS IN COMMUNICATIONS, IEEE INC. NEW YORK, US, vol. 11, no. 1, 1993, pages 6-22, XP000377993 ISSN: 0733-8716 * page 13, left-hand column - page 14, right-hand column; figures 10,11,15,19 * * page 6 *	1-38	TECHNICAL FIELDS SEARCHED (Int.Cl.7) H04L

-/--

The present search report has been drawn up for all claims

Place of search	Date of completion of the search	Examiner
MUNICH	22 May 2002	Marzenke, M

CATEGORY OF CITED DOCUMENTS

X : particularly relevant if taken alone
Y : particularly relevant if combined with another document of the same category
A : technological background
O : non-written disclosure
P : intermediate document

T : theory or principle underlying the invention
E : earlier patent document, but published on, or after the filing date
D : document cited in the application
L : document cited for other reasons

& : member of the same patent family, corresponding document

EPO FORM 1503 03.02 (P04C01)

6/12/06, EAST Version: 2.0.3.0

European Patent Office

EUROPEAN SEARCH REPORT

Application Number
EP 01 13 0988

DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
A	PAPKE L ET AL: "Different iterative decoding algorithms for combined concatenated coding and multiresolution modulation" COMMUNICATIONS, 1994. ICC '94, SUPERCOMM/ICC '94, CONFERENCE RECORD, 'SERVING HUMANITY THROUGH COMMUNICATIONS.' IEEE INTERNATIONAL CONFERENCE ON NEW ORLEANS, LA, USA 1-5 MAY 1994, NEW YORK, NY, USA,IEEE, 1 May 1994 (1994-05-01), pages 1249-1254, XP010126691 ISBN: 0-7803-1825-0 * page 1250, left-hand column, paragraph 2.1 - page 1251, left-hand column, paragraph 3; figures 1,3,4 *	1-38	
D,Y	WACHSMANN U ET AL: "Multilevel codes: theoretical concepts and practical design rules" IEEE TRANSACTIONS ON INFORMATION THEORY, IEEE INC. NEW YORK, US, vol. 45, no. 5, July 1999 (1999-07), pages 1361-1391, XP002187530 ISSN: 0018-9448 * page 1382, right-hand column, paragraph VIII - page 1383, left-hand column; figures 1-4,14-16,18 * * page 1364, right-hand column - page 1356, left-hand column, paragraph III * * page 1385, right-hand column - page 1386, left-hand column; figure 34 * -/--	1-13, 25-38	TECHNICAL FIELDS SEARCHED (Int.Cl.7)

The present search report has been drawn up for all claims

Place of search	Date of completion of the search	Examiner
MUNICH	22 May 2002	Marzenke, M

CATEGORY OF CITED DOCUMENTS

X : particularly relevant if taken alone
Y : particularly relevant if combined with another document of the same category
A : technological background
O : non-written disclosure
P : intermediate document

T : theory or principle underlying the invention
E : earlier patent document, but published on, or after the filing date
D : document cited in the application
L : document cited for other reasons

& : member of the same patent family, corresponding document

EPO FORM 1503 03.82 (P04C01)

6/12/06, EAST Version: 2.0.3.0

European Patent Office

EUROPEAN SEARCH REPORT

Application Number
EP 01 13 0988

DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
D,X	FORNEY JR G D: "TRELLIS SHAPING" IEEE TRANSACTIONS ON INFORMATION THEORY, IEEE INC. NEW YORK, US, vol. 38, no. 2 PT01, 1 March 1992 (1992-03-01), pages 281-300, XP000257683 ISSN: 0018-9448 * the whole document *	14-24	

TECHNICAL FIELDS SEARCHED (Int.Cl.7)

The present search report has been drawn up for all claims

Place of search	Date of completion of the search	Examiner
MUNICH	22 May 2002	Marzenke, M

CATEGORY OF CITED DOCUMENTS

X : particularly relevant if taken alone
Y : particularly relevant if combined with another document of the same category
A : technological background
O : non-written disclosure
P : intermediate document

T : theory or principle underlying the invention
E : earlier patent document, but published on, or after the filing date
D : document cited in the application
L : document cited for other reasons

& : member of the same patent family, corresponding document

EPO FORM 1503 03.82 (P04C01)

6/12/06, EAST Version: 2.0.3.0